

POLICY TITLE	OUTPATIENT PULMONARY REHABILITATION
POLICY NUMBER	MP 8.008

Effective Date: 10/1/2023

POLICY RATIONALE DISCLAIMER POLICY HISTORY PRODUCT VARIATIONS DEFINITIONS CODING INFORMATION DESCRIPTION/BACKGROUND BENEFIT VARIATIONS REFERENCES

I. POLICY

A single course of pulmonary rehabilitation in the outpatient setting may be considered **medically necessary** for outpatient treatment of chronic pulmonary disease for individuals with moderate-to-very severe disease.

Candidates for pulmonary rehabilitation should be medically stable and not limited by another serious or unstable medical condition.

Contraindications to pulmonary rehabilitation include:

- severe psychiatric disturbance (e.g., dementia, organic brain syndrome); and
- significant or unstable medical conditions (e.g., congestive heart failure, acute cor pulmonale, substance abuse, significant liver dysfunction, metastatic cancer, disabling stroke).

A single course of pulmonary rehabilitation may be considered **medically necessary** in an outpatient setting as a preoperative conditioning component for those considered appropriate candidates for lung volume reduction surgery and for lung transplantation.

Pulmonary rehabilitation programs are considered **medically necessary** following lung transplantation.

Pulmonary rehabilitation programs are considered **investigationa** following other types of lung surgery, included but not limited to lung volume reduction surgery and surgical resection of lung cancer. There is insufficient evidence to support a general conclusion concerning the health outcomes or benefits associated with other situations.

Multiple courses of pulmonary rehabilitation are considered **investigational**, either as maintenance therapy in patients who initially respond, or in patients who fail to respond, or whose response to an initial rehabilitation program has diminished over time. There is insufficient evidence to support a general conclusion concerning the health outcomes or benefits associated with multiple courses of pulmonary rehabilitation services.

Home-based pulmonary rehabilitation programs are considered **investigational**. There is insufficient evidence to support a general conclusion concerning the health outcomes or benefits associated with home-based pulmonary rehabilitation services.

Pulmonary rehabilitation programs are considered **investigational** in all other situations. There is insufficient evidence to support a general conclusion concerning the health outcomes or benefits associated with other situations.



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Policy Guidelines

A pulmonary rehabilitation outpatient program is a comprehensive program that generally includes team assessment, patient training, psychosocial intervention, exercise training, and follow-up. The overall length of the program and the total number of visits for each component may vary from program to program.

Team assessment includes input from a physician, respiratory care practitioner, nurse, and psychologist, among others.

Individual training includes breathing retraining, bronchial hygiene, medications, and proper nutrition.

Psychosocial intervention addresses support system and dependency issues.

Exercise training includes strengthening and conditioning and may include stair climbing, inspiratory muscle training, treadmill walking, cycle training (with or without ergometer), and supported and unsupported arm exercise training. Exercise conditioning is an essential component of pulmonary rehabilitation. Education in disease management techniques without exercise conditioning does not improve health outcomes of patients who have chronic obstructive pulmonary disease.

Follow-up to a comprehensive outpatient pulmonary rehabilitation program may include supervised home exercise conditioning.

Candidates for pulmonary rehabilitation should be medically stable and not limited by another serious or unstable medical condition.

Cross-references:

MP 2.380 Diagnosis and Treatment of Post-Acute Sequelae COVID (PASC)MP 9.014 Heart/Lung TransplantMP 9.015 Lung and Lobar Lung Transplant

II. PRODUCT VARIATIONS

This policy is only applicable to certain programs and products administered by Capital Blue Cross please see additional information below, and subject to benefit variations as discussed in Section VI below.

FEP PPO: Refer to FEP Medical Policy Manual. The FEP Medical Policy manual can be found at: <u>https://www.fepblue.org/benefit-plans/medical-policies-and-utilization-management-guidelines/medical-policies</u>

III. DESCRIPTION/BACKGROUND

Pulmonary rehabilitation (PR) is a multidisciplinary approach to reducing symptoms and improving quality of life in patients with compromised lung function. PR programs generally include a patient assessment followed by therapeutic interventions including exercise training, education, and behavior change.

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In 2013, the American Thoracic Society (ATS) and the European Respiratory Society (ERS) define pulmonary rehabilitation as a "comprehensive intervention based on a thorough patient assessment followed by patient-tailored therapies that include, but are not limited to exercise training, education and behavior change.¹⁷ PR programs are intended to improve the patient's functioning and quality of life. Most research has focused on patients with chronic obstructive pulmonary disease (COPD), although there has been some interest in PR in patients with asthma, cystic fibrosis, or bronchiectasis.

PR is also routinely offered to patients awaiting lung transplantation and lung volume reduction surgery (LVRS). PR before lung surgery may stabilize or improve patients' exercise tolerance, teach patients techniques that will help them recover after the procedure, and allow health care providers to identify individuals who might be suboptimal surgical candidates due to non-compliance, poor health, or other reasons.

IV. RATIONALE

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Summary of Evidence

Chronic Pulmonary Disease Rehabilitation

For individuals with moderate-to-severe COPD who receive a single course of outpatient PR, the evidence includes numerous randomized controlled trials (RCTs) and systematic reviews. Relevant outcomes are symptoms, functional outcomes, and quality of life. The published studies found improved outcomes (i.e., functional ability, quality of life) in patients with moderate-to-severe COPD who underwent a comprehensive PR program in the outpatient setting. Among the many randomized trials, the structure of the PR programs varied, so it is not possible to provide guidance on the optimal components or duration of a PR program. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals with idiopathic pulmonary fibrosis who receive a single course of outpatient PR, the evidence includes 2 systematic reviews of RCTs. Relevant outcomes are symptoms, functional outcomes, and quality of life. The number of controlled studies is limited. One small RCT evaluated a comprehensive PR program in patients with idiopathic pulmonary fibrosis; at 3 months postintervention, outcomes did not differ between groups that did and did not receive PR. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals with bronchiectasis who receive a single course of outpatient PR, the evidence includes a systematic review of RCTs. Relevant outcomes are symptoms, functional outcomes, and quality of life. A systematic review of 4 RCTs on PR for patients with bronchiectasis found that some, but not all, outcomes, improved more with PR than with nonexercise control conditions immediately after the intervention. The evidence is insufficient to determine the effects of the technology on health outcomes.

Although most published evidence on outpatient pulmonary rehabilitation for chronic pulmonary diseases assesses COPD, observational studies have reported on outcomes from pulmonary rehabilitation for other chronic pulmonary diseases. Clinical guidelines from pulmonary organizations have supported the use of outpatient pulmonary rehabilitation for individuals who are experiencing disabling symptoms and have significantly diminished quality of life despite



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optimal medical management. Therefore, outpatient pulmonary rehabilitation may be considered medically necessary for this population.

Preparation for Lung Surgery

For individuals with scheduled lung surgery for volume reduction, transplantation, or resection who receive a single course of outpatient PR, the evidence includes RCTs and observational studies. Relevant outcomes are symptoms, functional outcomes, and quality of life. There is a lack of large RCTs comparing PR with no PR for preoperative candidates undergoing lung volume reduction surgery (LVRS), lung transplantation, or lung cancer resection. Moreover, the available studies have evaluated exercise programs, but not necessarily comprehensive PR programs. Also, the few small RCTs, and observational studies have only reported short-term outcomes and inconsistent evidence of benefit even on these outcomes. The evidence is insufficient to determine the effects of the technology on health outcomes. Findings from the National Emphysema Treatment Trial have suggested that pulmonary rehabilitation is an appropriate component of care for patients with COPD before undergoing lung volume reduction surgery. Also, pulmonary rehabilitation is considered the standard of care in individuals undergoing lung transplantation to maximize preoperative pulmonary status. Thus, pulmonary rehabilitation may be considered medically necessary for individuals considered appropriate candidates for lung volume reduction surgery or lung transplantation.

Pulmonary Rehabilitation After Lung Surgery

For individuals who have had LVRS who receive a single course of outpatient PR, the evidence includes a case series. Relevant outcomes are symptoms, functional outcomes, and quality of life. No published RCTs were identified. The case series evaluated a comprehensive PR program after LVRS in 49 patients who had not received preoperative PR. Health-related quality of life was higher at 3 to 6 months and 12 to 18 months postsurgery. The series did not provide data on patients who underwent LVRS and did not have postoperative PR, or patients who had preoperative PR. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have had lung transplantation who receive a single course of outpatient PR, the evidence includes RCTs, systematic reviews, and a case series. Relevant outcomes are symptoms, functional outcomes, and quality of life. Neither of the 2 RCTs identified in a 2010 systematic review reported on functional outcomes, but uncontrolled studies have reported improvements in functional outcomes. An RCT, published after the systematic review, found that patients who had a postsurgical exercise intervention walked more 1 year post discharge than before and had a significantly greater 6-minute walk distance. Findings on other outcomes were mixed. The most recent RCT (2017) did not identify a difference in outcomes with longer duration of PR. Case series data also support improvements in 6-minute walk distance after postoperative PR. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have had lung cancer resection who receive a single course of outpatient PR, the evidence includes 2 RCTs. Relevant outcomes are symptoms, functional outcomes, and quality of life. One small RCT has evaluated a comprehensive PR program in patients who underwent thoracotomy for lung cancer. The trial was terminated early, had a high dropout rate,



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and reported mixed findings. An exercise-only intervention in patients who had lung cancer surgery had mixed findings and did not evaluate functional outcomes. The evidence is insufficient to determine the effects of the technology on health outcome

Repeat or Maintenance Rehabilitation

For individuals who have had an initial course of PR who receive repeat or maintenance outpatient PR, the evidence includes an RCT. Relevant outcomes are symptoms, functional outcomes, and quality of life. This small RCT had methodologic limitations and did not report inpatient and outpatient outcomes separately; it also lasted only 3 weeks. The evidence is insufficient to determine the effects of the technology on health outcome.

Home-Based Rehabilitation

For individuals who have an indication for outpatient PR who receive a single course of homebased PR, the evidence includes RCTs and systematic reviews. Relevant outcomes are symptoms, functional outcomes, and quality of life. Most studies of home-based PR have compared outcomes with standard care. Very few have compared home-based PR with the hospital- or clinic-based PR, and the available studies are mostly of low quality. The evidence is insufficient to determine the effects of the technology on health outcome.

V. **DEFINITIONS**

N/A

VI. BENEFIT VARIATIONS

The existence of this medical policy does not mean that this service is a covered benefit under the member's health benefit plan. Benefit determinations should be based in all cases on the applicable health benefit plan language. Medical policies do not constitute a description of benefits. A member's health benefit plan governs which services are covered, which are excluded, which are subject to benefit limits and which require preauthorization. There are different benefit plan designs in each product administered by Capital Blue Cross. Members and providers should consult the member's health benefit plan for information or contact Capital Blue Cross for benefit information.

VII. DISCLAIMER

Capital Blue Cross's medical policies are developed to assist in administering a member's benefits, do not constitute medical advice and are subject to change. Treating providers are solely responsible for medical advice and treatment of members. Members should discuss any medical policy related to their coverage or condition with their provider and consult their benefit information to determine if the service is covered. If there is a discrepancy between this medical policy and a member's benefit information, the benefit information will govern. If a provider or a member has a question concerning the application of this medical policy to a specific member's plan of benefits, please contact Capital Blue Cross' Provider Services or Member Services. Capital Blue Cross considers the information contained in this medical policy to be proprietary and it may only be disseminated as permitted by law.



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VIII. CODING INFORMATION

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Note: This list of codes may not be all-inclusive, and codes are subject to change at any time. The identification of a code in this section does not denote coverage as coverage is determined by the terms of member benefit information. In addition, not all covered services are eligible for separate reimbursement.

Investigational; therefore, not covered:

Procedure	e Codes			
G0305				

Covered when medically necessary:

Procedur	e Codes						
94625	94626	G0237	G0238	G0239	G0302	G0303	G0304
S9473							

ICD-10-CM Diagnosis	Description
Codes	
D38.1	Neoplasm of uncertain behavior of trachea, bronchus, and lung
D86.0	Sarcoidosis of lung
D86.1	Sarcoidosis of lymph nodes
D86.2	Sarcoidosis of lung with sarcoidosis of lymph nodes
D86.9	Sarcoidosis, unspecified
E84.0	Cystic fibrosis with pulmonary manifestations
E84.9	Cystic fibrosis, unspecified
127.0	Primary pulmonary hypertension
127.20	Pulmonary hypertension, unspecified
127.23	Pulmonary hypertension due to lung diseases and hypoxia
J41.0	Simple chronic bronchitis
J41.1	Mucopurulent chronic bronchitis
J41.8	Mixed simple and mucopurulent chronic bronchitis
J43.0	Unilateral pulmonary emphysema [MacLeod's syndrome]
J43.1	Panlobular emphysema
J43.2	Centrilobular emphysema
J43.8	Other emphysema
J43.9	Emphysema, unspecified
J44.0	Chronic obstructive pulmonary disease with acute lower respiratory infection



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ICD-10-CM	
Diagnosis	Description
	Chronic chatructive pulmonary diagona with (caute) execution
J44.1	Other encodified charging chatructive pulmonary disease with (acute) exacerbation
J44.89	Other specified chronic obstructive pulmonary disease
J44.9	Chronic obstructive pulmonary disease, unspecified
J47.0	Bronchiectasis with acute lower respiratory infection
J47.1	Bronchiectasis with (acute) exacerbation
J47.9	Bronchiectasis, uncomplicated
J60	Coalworker's pneumoconiosis
J61	Pneumoconiosis due to asbestos and other mineral fibers
J62.0	Pneumoconiosis due to talc dust
J62.8	Pneumoconiosis due to other dust containing silica
J63.0	Aluminosis (of lung)
J63.1	Bauxite fibrosis (of lung)
J63.2	Berylliosis
J63.3	Graphite fibrosis (of lung)
J63.4	Siderosis
J63.5	Stannosis
J63.6	Pneumoconiosis due to other specified inorganic dusts
J65	Pneumoconiosis associated with tuberculosis
J66.0	Byssinosis
J66.1	Flax-dressers' disease
J66.2	Cannabinosis
J66.8	Airway disease due to other specific organic dusts
J67.0	Farmer's lung
J82	Pulmonary eosinophilia, not elsewhere classified
J82.8	Pulmonary eosinophilia, not elsewhere classified
J82.89	Other Pulmonary Eosinophilia, not elsewhere classified
J84.10	Pulmonary fibrosis, unspecified
J84.111	Idiopathic interstitial pneumonia, not otherwise specified
J84.112	Idiopathic pulmonary fibrosis
J84.113	Idiopathic non-specific interstitial pneumonitis
J84.115	Respiratory bronchiolitis interstitial lung disease
J84.116	Cryptogenic organizing pneumonia
J84.117	Desquamative interstitial pneumonia
J84.17	Other interstitial pulmonary diseases with fibrosis in diseases classified elsewhere
J84.178	Other interstitial pulmonary diseases with fibrosis in diseases classified elsewhere



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ICD-10-CM Diagnosis Codes	Description
J84.2	Lymphoid interstitial pneumonia
J84.89	Other specified interstitial pulmonary diseases
J84.9	Interstitial pulmonary disease, unspecified
J98.4	Other disorders of lung
J99	Respiratory disorders in diseases classified elsewhere
Z76.82	Awaiting organ transplant status
Z94.2	Lung transplant status
Z94.3	Heart and lungs transplant status

IX. REFERENCES

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41. Blue Cross Blue Shield Association Medical Policy Reference Manual. 8.03.05, Outpatient Pulmonary Rehabilitation. April 2023

X. POLICY HISTORY

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MP 8.008	CAC 11/30/2004
	CAC 09/27/2005
	CAC 11/29/2005
	CAC 11/28/2006
	CAC 01/29/2008
	CAC 05/26/2009
	CAC 05/25/2010 Consensus
	CAC 07/26/2011 Adopting BCBSA. Changed title to match BCBSA-
	Outpatient Pulmonary Rehabilitation (formerly Outpatient Pulmonary
	Rehabilitation and Pulmonary Function Tests). Changed disease severity
	range indication from moderate-to-severe disease to moderate-to-very
	severe disease.
	Changed policy statement for multiple courses of pulmonary rehab from
	"not medically necessary" to "investigational". Deleted the limit of 18
	sessions.
	Deleted statement indicating this therapy is not medically necessary for
	other diagnosis. Deleted information related to pulmonary functions testing.
	patient initiated spirometry, transtelephonic, or home PFTs. Deleted
	benefits information indicating noncoverage for maintenance programs
	including health club fees and exercise equipment. Deleted statement
	indicating ST, PT, OT, and cardiac rehab is not covered in conjunction with
	pulmonary rehab unless for an unrelated condition. Added statement
	indicating home-based pulmonary rehabilitation programs are considered
	investigational. Deleted paragraph indicating patients with severe
	pulmonary impairment are not appropriate candidates.
	Administrative posting 03/22/2012. Policy revised for clarification
	eliminating some restrictive clinical verbiage that is not found in the GOLD
	document.
	Administrative change 06/14/2012 Deleted Medicare variation. LCD
	1 31483 retired
	Administrative change 07/23/2012 Added Medicare variation referencing
	Claims Processing Manual Added FEP variation referencing FEP policy
	manual
	CAC 06/04/2013 Consensus review Administrative code review
	complete
	CAC 03/25/2014 Consensus Added statement "Pulmonary rebabilitation
	programs are considered investigational in all other situations" Policy
	quideline section created and quideline statements moved into that section
	Added rationale section Undated references. Coding roviewed
	ן המעפע זמווטוומוב שבנווטוו. טףעמובע זבובובוונבש. טטעוווע ובעובאפע.



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CAC 03/24/2015	5 Minor revision. Statement added that pulmonary
rehabilitation is a	considered medically necessary following lung
transplantation a	and investigational following other types of lung surgery.
References and	rationale updated. Policy coded.
CAC 05/31/2016	6 Consensus review. No changes to the policy
statements. Rat	tionale and references updated. Coding reviewed.
Admin update	01/01/2017 Variation reformatting
CAC 07/25/2017	7 Consensus review. No changes to the policy statements.
Rationale and re	eferences updated. Coding updated
01/01/2018 Adn	nin Update: Medicare variations removed from Commercial
Policies.	
05/14/2018 Con	sensus review. Policy statements reordered, but
unchanged. FEF	variation removed as policy archived 10/15/2016.
Description/Bacl	kground, Rationale, and Reference sections updated.
10/01/2018 Adn	nin Update: Removed deleted ICD-10 codes, and added
new ICD-10 cod	es effective 10/1/18.
03/28/2019 Con	sensus review. No changes made to policy statement.
References upd	ated.
08/01/2019 Cod	ing update. Diagnosis list revised.
03/27/2020 Con	sensus review. Added FEP variation. No changes made
to policy stateme	ent.
09/01/2020 Adn	ninistrative update. Added ICD 10 code J84.178, J82.89,
J82.8	
05/19/2021 Con	sensus review. No change to policy statements.
References, des	cription/background and rationale sections updated. FEP
policy number co	orrected.
12/02/2021 Adn	ninistrative update. Added new codes 94625 and 94626
and removed G	0424; effective 1/1/22.
04/18/2022 Con	sensus review. No change to policy statement. Cross-
reference added	I. References reviewed and added.
4/5/2023 Conse	nsus review. No change to policy statement. References
reviewed and up	dated. Rationale and FEP statement updated. No coding
changes.	
8/30/2023 Admi	nistrative review. Added J4489 to ICD-10-CM codes as
part of new code	e update. Effective 10/1/2023.

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