

**MEDICAL POLICY**

<b>POLICY TITLE</b>	<b>TRANSCATHETER AORTIC VALVE IMPLANTATION FOR AORTIC STENOSIS</b>
<b>POLICY NUMBER</b>	<b>MP-1.135</b>

<b>Original Issue Date (Created):</b>	<b>11/1/2012</b>
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**I. POLICY**

Transcatheter aortic valve replacement, with an U.S. Food and Drug Administration FDA-approved transcatheter heart valve system, performed via an approach consistent with the specific device’s FDA-approved labeling, may be considered **medically necessary** for patients with aortic stenosis when **all** of the following conditions are present:

- Severe aortic stenosis (see policy guidelines) with a calcified aortic annulus; AND
- NYHA (New York Heart Association) heart failure Class II, III or IV symptoms; AND
- Left ventricular ejection fraction greater than 20%; AND
- Patient is not an operable candidate for open surgery, as judged by at least two cardiovascular specialists (cardiologist and/or cardiac surgeon); or patient is an operable candidate but is at high or intermediate risk for open surgery (see Policy Guidelines)

Transcatheter aortic valve replacement with a transcatheter heart valve system approved for use for repair of a degenerated bioprosthetic valve may be considered **medically necessary** when all of the following conditions are present:

- Failed (stenosed, insufficient, or combined) of a surgical bioprosthetic aortic valve; AND
- NYHA heart failure class II, III or IV symptoms; AND
- Left ventricular ejection fraction greater than 20%; AND
- Patient is not an operable candidate for open surgery, as judged by at least 2 cardiovascular specialists (cardiologist and/or cardiac surgeon); or patient is an operable candidate but is at high risk for open surgery (see Policy Guidelines section).

Transcatheter aortic valve replacement is considered **investigational** for all other indications. There is insufficient evidence to support a conclusion concerning the health outcomes or benefits associated with this procedure for these indications.

**POLICY GUIDELINES**

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The U.S. Food and Drug Administration (FDA) definition of extreme risk or inoperable for open surgery is:

- Predicted risk of operative mortality and/or serious irreversible morbidity 50% or higher for open surgery.

The FDA definition of high risk for open surgery:

- Society of Thoracic Surgeons predicted operative risk score of  $\geq 8\%$ ; or
- Judged by a heart team, which includes an experienced cardiac surgeon and a cardiologist, to have an expected mortality risk of  $>15\%$  for open surgery.

The FDA definition of intermediate risk is:

- Society of Thoracic Surgeons predicted operative risk score of 3% to 7%

For use of the SAPIEN or CoreValve device, severe aortic stenosis is defined by the presence of one or more of the following criteria:

- An aortic valve area of less than or equal to  $1 \text{ cm}^2$
- An aortic valve area index of less than or equal to  $0.6 \text{ cm}^2/\text{m}^2$
- A mean aortic valve gradient greater than or equal to 40 mm Hg
- A peak aortic-jet velocity greater than or equal to 4.0 m/s

***Cross-reference:***

**MP-1.139** Transcatheter Pulmonary Valve Implantation

**II. PRODUCT VARIATIONS**

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This policy is applicable to all programs and products administered by Capital BlueCross unless otherwise indicated below.

**FEP PPO** - Refer to FEP Medical Policy Manual MP-7.01.132 Transcatheter aortic-valve Implantation for Aortic Stenosis. The FEP Medical Policy manual can be found at:

[https://www.fepblue.org/benefit-plans/medical-policies-and-utilization-management-guidelines/medical-policies.](https://www.fepblue.org/benefit-plans/medical-policies-and-utilization-management-guidelines/medical-policies)

**III. DESCRIPTION/BACKGROUND**

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**Aortic Stenosis**

Aortic stenosis is defined as narrowing of the aortic valve opening, resulting in obstruction of blood flow from the left ventricle into the ascending aorta. Progressive calcification of the aortic valve is the most common etiology in North America and Europe, while rheumatic fever is the most common etiology in developing countries.<sup>1</sup> Congenital abnormalities of the aortic valve,

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most commonly a bicuspid valve, increase the risk for aortic stenosis, but aortic stenosis can also occur in a normal aortic valve. Risk factors for calcification of a congenitally normal valve mirror those for atherosclerotic vascular disease, including advanced age, male gender, smoking, hypertension, and hyperlipidemia.<sup>1</sup> Thus, the pathogenesis of calcific aortic stenosis is thought to be similar to that of atherosclerosis, i.e., deposition of atherogenic lipids and infiltration of inflammatory cells, followed by progressive calcification.

The natural history of aortic stenosis involves a long asymptomatic period, with slowly progressive narrowing of the valve until the stenosis reaches the severe stage. At this time, symptoms of dyspnea, chest pain, and/or dizziness/syncope often occur and the disorder progresses rapidly. Treatment of aortic stenosis is primarily surgical, involving replacement of the diseased valve with a bioprosthetic or mechanical valve by open heart surgery.

**Disease Burden**

Aortic stenosis is a relatively common disorder of elderly patients and is the most common acquired valve disorder in the U.S. Approximately 2% to 4% of people older than 65 years of age have evidence of significant aortic stenosis,<sup>1</sup> increasing up to 8% of people by age 85 years.<sup>2</sup> In the Helsinki Aging Study, a population-based study of 501 patients aged 75 to 86 years, the prevalence of severe aortic stenosis by echocardiography was estimated to be 2.9%.<sup>3</sup> In the United States, more than 50,000 aortic valve replacements are performed annually due to severe aortic stenosis.

Aortic stenosis does not cause substantial morbidity or mortality when the disease is mild or moderate in severity. By the time it reaches the severe stage, there is an untreated mortality rate of approximately 50% within 2 years.<sup>4</sup> Open surgical repair is an effective treatment for reversing aortic stenosis, and artificial valves have demonstrated good durability for periods of up to 20 years.<sup>4</sup> However, these benefits are accompanied by a perioperative mortality of approximately 3% to 4% and substantial morbidity,<sup>4</sup> both of which increase with advancing age.

**Unmet Needs**

Many patients with severe, symptomatic aortic stenosis are poor operative candidates. Approximately 30% of patients presenting with severe aortic stenosis do not undergo open surgery due to factors such as advanced age, advanced left ventricular dysfunction, or multiple medical comorbidities.<sup>5</sup> For patients who are not surgical candidates, medical therapy can partially alleviate the symptoms of aortic stenosis but does not affect the underlying disease progression. Percutaneous balloon valvuloplasty can be performed, but this procedure has less than optimal outcomes.<sup>6</sup> Balloon valvuloplasty can improve symptoms and increase flow across the stenotic valve but is associated with high rates of complications such as stroke, myocardial infarction (MI), and aortic regurgitation. In addition, restenosis can occur rapidly, and there is no improvement in mortality. As a result, there is a large unmet need for less invasive treatments for aortic stenosis in patients who are at increased risk for open surgery.

**Treatment**

Transcatheter aortic valve implantation has been developed in response to this unmet need and was originally intended as an alternative for patients for whom surgery was not an option due to

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prohibitive surgical risk or for patients at high risk for open surgery. The procedure is performed percutaneously, most often through the transfemoral artery approach. It can also be done through the subclavian artery approach and transapically using mediastinoscopy. Balloon valvuloplasty is first performed to open up the stenotic area. This is followed by passage of a bioprosthetic artificial valve across the native aortic valve. The valve is initially compressed to allow passage across the native valve and is then expanded and secured to the underlying aortic valve annulus. The procedure is performed on the beating heart without cardiopulmonary bypass.

**Regulatory Status**

Two manufacturers have transcatheter aortic valve devices with Food and Drug Administration (FDA) approval. Regulatory status data for these devices are listed in Table 1

**Table 1. FDA-Approved Transcatheter Aortic Valve Device Systems**

<b>Device and Indication</b>	<b>Manufacturer</b>	<b>Date Cleared</b>	<b>PMA</b>
Edwards SAPIEN Transcatheter Heart Valve System™	Edwards Lifesciences	11/11	P100041
<ul style="list-style-type: none"> <li>Severe native aortic valve stenosis determined to be inoperable for open aortic valve replacement (transfemoral approach)</li> </ul>		10/12	
<ul style="list-style-type: none"> <li>Expanded to include high-risk aortic stenosis (transapical approach)</li> </ul>		06/17	
<ul style="list-style-type: none"> <li>Expanded to include replacement of bioprosthetic valve in high risk for death or severe complications of repeat surgery</li> </ul>		08/16	
<ul style="list-style-type: none"> <li>Expanded to include severe aortic stenosis with intermediate surgical risk</li> </ul>			
Edwards SAPIEN XT Transcatheter Heart Valve (model 9300TFX) and accessories		07/14	P130009
<ul style="list-style-type: none"> <li>Severe native aortic valve stenosis at high or greater risk for open surgical therapy</li> </ul>		10/15	P130009/S034
<ul style="list-style-type: none"> <li>Expanded to include failure of bioprosthetic valve in high or greater risk for open surgical therapy</li> </ul>		08/16	
<ul style="list-style-type: none"> <li>Expanded to include severe aortic stenosis with intermediate surgical risk</li> </ul>			
Medtronic CoreValve System™	Medtronic CoreValve	01/14	P130021
<ul style="list-style-type: none"> <li>Severe native aortic stenosis at extreme risk or inoperable for open surgical therapy</li> </ul>		06/16	P130021/S002
<ul style="list-style-type: none"> <li>Expanded to include high risk for open surgical therapy</li> </ul>		07/17	P130021/S033
<ul style="list-style-type: none"> <li>Expanded to include intermediate risk for open surgical therapy</li> </ul>		06/15	P130021/S014
Medtronic CoreValve Evolut R System™			
<ul style="list-style-type: none"> <li>Design iteration for valve and accessories</li> </ul>		07/17	P130021/S033
<ul style="list-style-type: none"> <li>Expanded to include intermediate risk for open surgical therapy</li> </ul>		03/17	P130021/S029
Medtronic CoreValve Evolut PRO System™			
<ul style="list-style-type: none"> <li>Design iteration for valve and accessories</li> </ul>		07/17	P130021/S033
<ul style="list-style-type: none"> <li>Expanded to include intermediate risk for open surgical therapy</li> </ul>			

FDA: Food and Drug Administration; PMA: postmarket approval.

Other transcatheter aortic valve systems are under development. The following repositionable valves are under investigation:

- Lotus™ Aortic Valve Replacement System (Boston Scientific)
- Portico™ Transcatheter Aortic Valve (St. Jude Medical)
- JenaValve™ (JenaValve Technology); designed for transapical placement

Several embolic protection devices, which are designed to collect embolic debris distal to the transcatheter aortic valve implantation apparatus and to prevent ischemic stroke, are under

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investigation. No devices have FDA approval for use in the United States. Examples include the TriGuard (Keystone Heart) and the Sentinel Cerebral Protection System (Claret Medical).

**IV. RATIONALE**

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**Summary of Evidence**

For individuals who have severe symptomatic aortic stenosis who are at prohibitive risk for open surgery who receive TAVI, the evidence includes an RCT comparing TAVI with medical management in individuals at prohibitive risk of surgery, a single-arm prospective trial, multiple case series, and multiple systematic reviews. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related mortality and morbidity. For patients who are not surgical candidates due to excessive surgical risk, the PARTNER B trial reported on results for patients treated with TAVI by the transfemoral approach compared with continued medical care with or without balloon valvuloplasty. There was a large decrease in mortality for the TAVI patients at 1 year compared with medical care. This trial also reported improvements in other relevant clinical outcomes for the TAVI group. There was an increased risk of stroke and vascular complications in the TAVI group. Despite these concerns, the overall balance of benefits and risks from this trial indicate that health outcomes are improved. For patients who are not surgical candidates, no randomized trials have compared the self-expandable valve with best medical therapy. However, results from the single-arm CoreValve Extreme Risk Pivotal Trial met trialists’ prespecified objective performance goal. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have severe symptomatic aortic stenosis who are at high risk for open surgery who receive TAVI, the evidence includes 2 RCTs comparing TAVI with surgical repair in individuals at high risk for surgery, multiple nonrandomized comparative studies, and systematic reviews of these studies. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related mortality and morbidity. For patients who are high risk for open surgery and are surgical candidates, the PARTNER A trial reported noninferiority for survival at 1 year for the balloon-expandable valve compared with open surgery. In this trial, TAVI patients also had higher risks for stroke and vascular complications. Nonrandomized comparative studies of TAVI vs open surgery in high-risk patients have reported no major differences in rates of mortality or stroke between the 2 procedures. Since the publication of the PARTNER A trial, the CoreValve High Risk Trial demonstrated noninferiority for survival at 1 and 2 years for the self-expanding prosthesis. This trial reported no significant differences in stroke rates between groups. In an RCT directly comparing the self-expandable with the balloon-expandable valve among surgically high-risk patients, the devices had similar 30-day mortality outcomes, although the self-expandable valve was associated with higher rates of residual aortic regurgitation and need for a new permanent pacemaker. Evidence from RCT and nonrandomized studies has suggested that TAVI with a self-expanding device is associated with higher rates for permanent pacemakers postprocedure. However, survival rates appear to be similar between device types, and the evidence does not support the superiority of one device over another in all patients. Two

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sex-specific studies were also identified in a literature search with the objective of observing mortality rates in women undergoing TAVI or SAVR. Results were varied, and further study is needed. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have severe symptomatic aortic stenosis who are at intermediate risk for open surgery who receive TAVI, the evidence includes 3 RCTs comparing TAVI with surgical repair including individuals at intermediate surgical risk, 2 RCTs only in patients with intermediate risk, and multiple systematic reviews and nonrandomized cohort studies. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related mortality and morbidity. Five RCTs have evaluated TAVI in patients with intermediate risk for open surgery. Three of them, which included over 4000 patients combined, reported noninferiority of TAVI vs SAVR for their composite outcome measures (generally including death and stroke). A subset analysis of patients (n=383) with low and intermediate surgical risk from a fourth trial reported higher rates of death at 2 years for TAVI vs SAVR. The final study (N=70) had an unclear hypothesis and reported 30-day mortality rates favoring SAVR (15% vs 2%, p=0.07) but used a transthoracic approach. The rates of adverse events differed between groups, with bleeding, cardiogenic shock, and acute kidney injury higher in patients randomized to open surgery and permanent pacemaker requirement higher in patients randomized to TAVI. Subgroup analyses of meta-analyses and the transthoracic arm of the Leon et al RCT has suggested that the benefit of TAVI may be limited to patients who are candidates for transfemoral access. Although several RCTs have 2 years of follow-up postprocedure, it is uncertain how many individuals require reoperation. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have severe symptomatic aortic stenosis who are at low risk for open surgery who receive TAVI, the evidence includes 2 RCTs comparing TAVI with surgical repair in individuals selected without specific surgical risk criteria but including patients at low surgical risk, systematic reviews, and nonrandomized cohort studies. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related mortality and morbidity. Limited data are available comparing SAVR with TAVI in patients who had severe aortic stenosis with low risk for open surgery. A systematic review including the low surgical risk patients of these 2 RCTs, and 4 observational studies, with propensity score matching, reported that the 30-day and in-hospital mortality rates were similar for TAVI (2.2%) and SAVR (2.6%). However, TAVI was associated with increased risk of mortality with longer follow-up (median, 2 years; 17.2% vs 12.7%). TAVI was associated with reduced risk for bleeding, renal failure and, an increase in vascular complications and pacemaker implantation compared with SAVR. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have valve dysfunction and aortic stenosis or regurgitation after aortic valve repair who receive transcatheter aortic “valve-in-valve” implantation, the evidence includes case series (largest with 459 patients) and systematic reviews of case series. Relevant outcomes are overall survival, symptoms, morbid events, and treatment-related mortality and morbidity. These case series have reported high rates of technical success of valve implantation and improvement in heart failure symptoms for most patients. However, they have also reported high rates of

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short-term complications and high rates of mortality at 1 year postprocedure. There is a lack of evidence comparing valve-in-valve replacement with alternative treatment approaches. The evidence is insufficient to determine the effects of the technology on health outcomes.

**V. DEFINITIONS**

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N/A

**VI. BENEFIT VARIATIONS**

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The existence of this medical policy does not mean that this service is a covered benefit under the member's contract. Benefit determinations should be based in all cases on the applicable contract language. Medical policies do not constitute a description of benefits. A member's individual or group customer benefits govern which services are covered, which are excluded, and which are subject to benefit limits and which require preauthorization. Members and providers should consult the member's benefit information or contact Capital BlueCross for benefit information.

**VII. DISCLAIMER**

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*Capital BlueCross's medical policies are developed to assist in administering a member's benefits, do not constitute medical advice and are subject to change. Treating providers are solely responsible for medical advice and treatment of members. Members should discuss any medical policy related to their coverage or condition with their provider and consult their benefit information to determine if the service is covered. If there is a discrepancy between this medical policy and a member's benefit information, the benefit information will govern. Capital BlueCross considers the information contained in this medical policy to be proprietary and it may only be disseminated as permitted by law.*

**VIII. CODING INFORMATION**

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**Note:** This list of codes may not be all-inclusive, and codes are subject to change at any time. The identification of a code in this section does not denote coverage as coverage is determined by the terms of member benefit information. In addition, not all covered services are

**Covered when medically necessary:**

CPT Codes®							
33361	33362	33363	33364	33365	33366	33367	33368

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33369							
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\*Current Procedural Terminology (CPT) copyrighted by American Medical Association. All Rights Reserved.

<b>ICD-10-CM Diagnosis Codes</b>	<b>Description</b>
I06.0	Rheumatic aortic stenosis
I06.1	Rheumatic aortic insufficiency
I06.2	Rheumatic aortic stenosis with insufficiency
I06.8	Other rheumatic aortic valve diseases
I06.9	Rheumatic aortic valve disease, unspecified
I08.0	Rheumatic disorders of both mitral and aortic valves
I08.2	Rheumatic disorders of both aortic and tricuspid valves
I08.3	Combined rheumatic disorders of mitral, aortic and tricuspid valves
I08.8	Other rheumatic multiple valve diseases
I08.9	Rheumatic multiple valve disease, unspecified
I35.0	Nonrheumatic aortic (valve) stenosis
I35.1	Nonrheumatic aortic (valve) insufficiency
I35.2	Nonrheumatic aortic (valve) stenosis with insufficiency
I35.8	Other nonrheumatic aortic valve disorders
I35.9	Nonrheumatic aortic valve disorder, unspecified
Q23.0	Congenital stenosis of aortic valve
T82.01XA	Breakdown (mechanical) of heart valve prosthesis, initial encounter
T82.01XD	Breakdown (mechanical) of heart valve prosthesis, subsequent encounter
T82.01XS	Breakdown (mechanical) of heart valve prosthesis, sequela
T82.857A	Stenosis of other cardiac prosthetic devices, implants and grafts, initial encounter
T82.857D	Stenosis of other cardiac prosthetic devices, implants and grafts, subsequent encounter
T82.857S	Stenosis of other cardiac prosthetic devices, implants and grafts, sequela

**IX. REFERENCES**

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**IX. POLICY HISTORY**

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<b>MP-1.135</b>	<b>CAC 6/26/12</b> New policy- Adopt BCBSA -Transcatheter aortic valve replacement, performed via the transfemoral approach, is considered medically necessary for patients with aortic stenosis when specific criteria have been met. <b>8/13/12</b> Medicare variation added.
	<b>12/26/2012</b> New codes added
	<b>CAC 7/30/13</b> Minor revision, Medically necessary indications added for patients who are at high risk for open surgery using the transfemoral approach, and patients who are at high risk for open surgery using the transapical approach. Investigational statement added for treatment of degenerated bio-prosthetic valve or failed TAVI (Valve-in-Valve approach), and for vascular approaches other than transfemoral or transapical. References updated. Guidelines added. FEP variation added. Policy coded.
	<b>12/19/2013-</b> New 2014 Code updates made.
	<b>CAC 3/24/15</b> Minor revision. Policy revised to remove statement that “procedures performed via the transaxillary, transiliac, transaortic, or other approaches” are investigational, to reflect that the approval of the CoreValve device that is labeled for use via transaxillary, transfemoral, and transaortic approaches. A statement was added that devices should be used according to their FDA approved indication. References, guidelines, background and rationale updated. Codes reviewed.
	<b>CAC 5/31/16</b> Consensus. No change to policy statements. References and rationale reviewed. Coding reviewed.
	<b>Admin update 1/1/17:</b> Product variation section reformatted.
	<b>CAC 11/29/16</b> Minor revision. Medically necessary statement added for valve-in-valve implantation in patients at high or prohibitive risk for open surgery. Background, rationale, and references updated. Coding reviewed.
	<b>12/19/17</b> Consensus review. No changes to the policy statements. Rationale and references updated.
	<b>4/30/18 Minor review.</b> Policy statements changed to add patients with aortic stenosis at intermediate surgical risk to first medically necessary statement. References and background updated. Rationale condensed. Coding updated.

# MEDICAL POLICY

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